

**List of the securities satisfying the conditions of warrants' underlying securities and the limitation for offering effective from January 21, 2021**

<b>No.</b>	<b>Stock symbol</b>	<b>Number of outstanding shares</b>	<b>Actual free-float</b>	<b>Number of freely-transferable shares</b>	<b>Total limitation of warrant's represented shares</b>	<b>Remaining limitation of warrant's represented shares</b>
1	FPT	783.905.110	75,02%	588.085.614	58.808.561	51.958.561
2	HDB	1.593.767.296	68,98%	1.099.380.681	109.938.068	106.936.224
3	HPG	3.313.282.659	54,49%	1.805.407.721	180.540.772	155.556.816
4	KDH	558.806.579	60,91%	340.369.087	34.036.908	30.136.908
5	MBB	2.798.756.872	55,88%	1.563.945.340	156.394.534	142.069.622
6	MSN	1.174.683.246	33,30%	391.169.521	39.116.952	36.427.474
7	MWG	466.124.227	62,60%	291.793.766	29.179.376	25.655.513
8	NVL	986.285.297	31,82%	313.835.982	31.383.598	30.114.321
9	PDR	396.169.897	37,16%	147.216.734	14.721.673	14.721.673
10	PNJ	227.442.803	80,06%	182.090.708	18.209.070	15.466.943
11	REE	309.050.926	44,02%	136.044.218	13.604.421	11.354.421
12	SBT	617.158.147	38,40%	236.988.728	23.698.872	20.717.694
13	SSI	599.014.915	59,51%	356.473.776	35.647.377	35.647.377
14	STB	1.803.653.429	93,13%	1.679.742.438	167.974.243	137.124.243
15	TCB	3.504.906.230	64,83%	2.272.230.709	227.223.070	205.223.070
16	TCH	353.271.564	55,68%	196.701.607	19.670.160	13.006.913
17	TPB	1.031.655.666	56,42%	582.060.127	58.206.012	58.206.012
18	VHM	3.289.513.918	22,81%	750.338.125	75.033.812	70.208.812
19	VIC	3.382.430.590	31,19%	1.054.980.101	105.498.010	103.748.010
20	VJC	523.838.594	46,53%	243.742.098	24.374.209	23.124.209

21	VNM	2.089.645.346	45,77%	956.430.675	95.643.067	91.875.070
22	VPB	2.454.748.366	71,29%	1.749.990.110	174.999.011	152.399.011
23	VRE	2.272.318.410	39,65%	900.974.250	90.097.424	68.722.424

**Note:**

- Data for filtering the list of underlying securities include:
  - + VN30 announced by Hochiminh Stock Exchange on 01/18/2021
  - + The market capitalization, trading volume and value, free-float on 12/31/2020.
  
- Data for the calculation of limitation include:
  - + Number of outstanding shares on 01/21/2021
  - + Actual free-float on 12/31/2020.

